

## **The Reward Regulations for Research and Development of the Ministry of Finance**

1. According to the Implementation Directions for Research and Development in Subordinate Agencies of the Executive Yuan, these Regulations are promulgated to encourage all units, subordinate agencies, and institutions (hereinafter referred to as agencies) of the Ministry of Finance to actively engage in research and development and regulate evaluation and reward incentives of in-house and joint research programs.

2. The agencies shall utilize knowledge management and other innovative methods in conducting research programs to promote research and development work.

Agencies shall adopt forward-looking planning in the collection of documents or information, emphasize knowledge sharing, promote flexible applications and innovation, utilize knowledge platforms, and create knowledge value when employing knowledge management to enhance the promotion of research and development work.

The competent authority responsible for research and evaluation functions within each agency shall be responsible for the promotion of research and development, and shall designate a specific person responsible for reporting, progress management, data filing, and other related matters of research programs.

3. Selected research programs for the following year shall be submitted to the Department of Planning with a table of program items and necessary statement before the end of November in the current year by agencies conducting research; said research will be conducted after approval by the Minister and Deputy Minister.

Dissertations and research reports that have participated in other evaluations shall not be submitted as research programs.

4. Approved research programs shall be conducted on schedule. The Department of Planning of the Ministry of Finance may implement inspections on a regular or irregular basis if necessary.

5. Agencies shall enter the title, duration, budgets, and other details of the current year's research programs into the Government Research Bulletin before March

15 of each year. Any additions or amendments shall be updated in a timely manner after approval from the Ministry.

6. Research from the previous year shall be completed and submitted with the abstract to the Ministry before January 10 of the current year in order to conduct annual review.

Approved research programs shall be submitted on time, and shall not be postponed or terminated without a justifiable reason and with approval from the Ministry.

7. The Department of Planning of the Ministry of Finance shall inform all agencies of the ranking results via official letter after completing the annual review. Agencies shall enter the implementation results and rewards of research programs conducted in the previous year via the Government Research Bulletin within ten days of receiving such ranking results.

8. The items and weights for evaluation of research reports are as follows:

- (1) Research theme: 10%
- (2) Research methods: 20%
- (3) Structure and text: 15%
- (4) Problem analysis and findings: 25%
- (5) Conclusion and recommendations: 30%

9. The evaluation processes are as follows:

- (1) The review committee consists of 4 to 6 relevant scholars and experts, and one Deputy Minister is designated as the convener.
- (2) The Department of Planning of the Ministry of Finance shall select and submit four initial reviewers for each research report to the review committee. After determining the priority sequence of reviewers, the Department of Planning shall invite two reviewers in sequence to be the final reviewers of each research report.
- (3) The average of the score assessed by the two reviewers shall be used as the score for each report.
- (4) If the scores assessed by the two reviewers differ by 20 points or more, another reviewer shall be invited to participate in the evaluation. The average of the

two scores which are closer among the three shall be used as the score for the report. If necessary, the review committee shall be convened again to determine the final score.

(5)The reviewers are not paid. The attendance fee of scholars and experts other than the staff of the agency shall be paid in accordance with the regulations of attendance fee promulgated by the Executive Yuan.

(6)The evaluation fee shall be paid according to the number of words in each report. Reports with fewer than 15,000 words shall be paid in accordance with the regulations promulgated by the Executive Yuan. Each report with 150,000 words or more but fewer than 500,000 words shall be paid NT\$3,000. Reports with 500,000 words or more but fewer than 1,000,000 words shall be paid NT\$4,000. Reports with 1,000,000 words or more shall be paid NT\$5,000.

10. The corresponding rewards are as follows:

(1)Excellence Award: A review score of 90 or above receives the Excellence Award. The number of reports receiving the Excellence Award shall not exceed 10% of the total number of reports. Each excellent report shall be awarded a gift voucher of NT\$30,000 and a certificate of merit. Within the scope of 11 commendations, the main researcher would be awarded a maximum of one merit, and other supporting researchers would be awarded a maximum of two commendations.

(2)Grade A Award: A review score of 80 or above but less than 90 receives the Grade A Award. Each report graded A shall be awarded a gift voucher of NT\$20,000 and a certificate of merit. Within the scope of 6 commendations, the main researcher would be awarded a maximum of two commendations, and other supporting researchers would be awarded a maximum of one commendation.

(3)Honorable Mention: A review score of 70 or above but less than 80 receives an Honorable Mention. Each report graded Honorable Mention shall be awarded a gift voucher of NT\$10,000 and a certificate of merit. The main researcher would be awarded one commendation, and other supporting researchers would not be awarded.

- (4) Research reports receiving a review score of less than 70 will not be awarded.
- (5) Joint research reports shall be limited to one main researcher, and the main researcher shall be awarded upon the degree of contribution according to the level of report rank, and the corresponding reward criteria in Paragraphs 1 to 3.
11. The aforementioned research reports receiving awards shall be commended in public by the Minister (or designated Deputy Minister).
  12. Research results may serve as the basis for individual performance appraisals.
  13. The related operating and rewarding funds of approved research programs shall be paid under research and development funds or other appropriate accounts of agencies.
  14. For research programs assessed as Honorable Mention or above, agencies could award within the criteria of these regulations in accordance with its authority.
  15. In addition to the approved research program in accordance with these Regulations, all the staff of the agencies may propose research and development opinions at any time during the year. If the proposal meets the items and weights for evaluation in Subparagraph 1, Point 8 of these Regulations, the proposer shall be rewarded after approval from the Director.
  16. If there is no justifiable reason for violating Points 3 to 7 of these Regulations, the Department of Planning of the Ministry of Finance may implement punishment depending on the severity of the case after approval from the Minister.
  17. Matters not covered in these Regulations will be dealt with in accordance with the relevant regulations.